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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	981117DS
First Inventor or Application Identifier	David F. Smith
Title	Wavelength Division Multiplexed Optical Transmission Systems, Apparatuses, and Methods
Express Mail Label No.	EL 428143521 US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: **Assistant Commissioner for Patents Box Patent Application Washington, DC 20231**

1. [X] * Fee Transmittal Form *(e.g., PTO/SB/17)* *(Submit an original and a duplicate for fee processing)*
2. [X] Specification [*Total Pages* 24] *(preferred arrangement set forth below)*
   - Descriptive title of the Invention
   - Cross References to Related Applications
   - Statement Regarding Fed sponsored R & D
   - Reference to Microfiche Appendix
   - Background of the Invention
   - Brief Summary of the Invention
   - Brief Description of the Drawings (*if filed*)
   - Detailed Description
   - Claim(s)
   - Abstract of the Disclosure
3. [X] Drawing(s) *(35 U.S.C. 113)* [*Total Sheets* 3]
4. Oath or Declaration [*Total Pages* 3]
   a. [X] Newly executed (original or copy)
   b. [ ] Copy from a prior application (37 C.F.R. § 1.63(d)) *(for continuation/divisional with Box 16 completed)*
      i. [ ] DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

5. [ ] Microfiche Computer Program *(Appendix)*
6. Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary)*
   a. [ ] Computer Readable Copy
   b. [ ] Paper Copy (identical to computer copy)
   c. [ ] Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. [X] Assignment Papers (cover sheet & document(s))
8. [X] 37 C.F.R.§3.73(b) Statement *(when there is an assignee)* [X] Power of Attorney
9. [ ] English Translation Document *(if applicable)*
10. [ ] Information Disclosure Statement (IDS)/PTO-1449 [ ] Copies of IDS Citations
11. [ ] Preliminary Amendment
12. [X] Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
13. [X] * Small Entity Statement(s) *(PTO/SB/09-12)* [ ] Statement filed in prior application, Status still proper and desired
14. [ ] Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
15. [X] Other: Patent Application Data.

16. If a CONTINUING APPLICATION, *check appropriate box, and supply the requisite information below and in a preliminary amendment:*

[ ] Continuation [ ] Divisional [X] Continuation-in-part (CIP) of prior application No: 60 / 108,751 11-17-1998

Prior application information: Examiner ______ *Group / Art Unit:* ______

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN

Docket Number (Optional) 981117DS

Applicant, Patentee, or Identifier: David F. Smith, Michael C. Antone

Application or Patent No.:

Filed or Issued:

Title: Wavelength Division Multiplexed Optical Transmission Systems, Apparatuses, and Methods

I hereby state that I am

- ☐ the owner of the small business concern identified below:
- ☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Corvis Corporation

ADDRESS OF SMALL BUSINESS CONCERN 7015 Albert Einstein Drive
Columbia, MD 21046-9400

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.
- ☐ the application identified above.
- ☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
- ☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

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TITLE OF PERSON IF OTHER THAN OWNER INTELLECTUAL PROPERTY COUNCIL

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SIGNATURE [signature] DATE 11/17/99

Initial Information Data Sheet (Patent Data Entry Format)

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Application Information

Title Line One ::	Wavelength Division Multiplexed Optical
Title Line Two ::	Transmission Systems, Apparatuses, and Methods
Total Drawing Sheets ::	3
Formal Drawings ::	Y
Docket Number ::	981117DS
Application Type ::	Utility

Continuity Information

This application is a ::	Continuation in Part of
> Application One ::	60/108,751
Filing Date ::	November 17, 1998

TITLE OF THE INVENTION

Wavelength Division Multiplexed Optical Transmission Systems, Apparatuses, and Methods

INVENTOR

David F. Smith
Michael C. Antone

CROSS-REFERENCE TO RELATED APPLICATIONS

This application is a continuation in part of commonly assigned U.S. Provisional Patent Application Serial No. 60/108,751 filed November 17, 1998, which is incorporated herein by reference.

STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT

Not Applicable

BACKGROUND OF THE INVENTION

The present invention is directed generally to the transmission of information in an optical communication system, or network. More particularly, the invention relates to provisioning and allocation of optical wavelengths and transmission rates in optic transmission systems to provide increased capacity.

Fiber optic transmission systems currently in use in the communications industry generally provide for transmission of optical signals from an optical transmitter to an optical receiver via one or more optical amplifiers. The distance between the transmitters and the receivers depends upon the amount of signal degradation that occurs during transmission. In optical systems, the optical signals must be regenerated before signal degradation introduces an unacceptable number of uncorrectable errors into the optical signals. Optical signal regeneration generally requires that the optical signal be converted back to an electrical signal. Regeneration is performed by electrically processing the electrical signals, such as by retiming, reshaping,

amplifying etc., which is followed by a retransmission of the electrical signal as an optical signal.

The transmitters and receivers are generally arranged in terminals to form a point to point optical link, in which the electronic data are optically transmitted using the transmitter to the optical receiver and converted back to electrical signals. Point to point optical links are interconnected either serially in a back to back configuration or via an electronic switch to form a multiple link optical system. Therefore, if it is desired to transmit information over distances greater than the point to point span length of a system, then a series of back to back, point to point links will be connected to span the distance.

The transmitters, receivers, and associated equipment are often one of the largest component expenses in the optical system and along with the required real estate and facilities comprise a substantial portion of the optical system startup and operating costs. Therefore, it is desirable to maximize the distance between the terminals. However, the maximum distance between the transmitters and receivers is limited, in part, by the data transmission rate. High bit transmission rates increase the degradation of the optical signals by various mechanisms; thereby requiring that the transmitter and receiver be more closely spaced than in lower bit rate systems.

The competing factors of increased capacity and increased number and cost of transmitter and receivers at higher bit rates are prime considerations in optical system design. Another factor is determining the spacing between the transmitter and receiver is the communications traffic patterns. Transmitters and receivers will often be located at less than the maximum distance to accommodate communications traffic that is not being sent over the maximum distance of the system or the accommodate electrical switching at fiber intersection in the system. Also, add and drop devices are often used between the terminals to allow

communications traffic to be added and/or dropped at locations spaced at distances less than the terminal spacing.

Until recently, the continued development of higher bit rate electronic equipment had been able to outpace the demand for transmission capacity. The higher bit rate equipment continued to facilitate the transmission of information using time division multiplexing ("TDM") or direct streaming of the information onto a single wavelength optical signal.

The emergence of the Internet and other data communication systems has greatly increased the demand for capacity in fiber optic transmission systems. This demand quickly exhausted the available capacity of single wavelength data stream and TDM systems. In response to the increased demand for capacity, optical systems were developed that employ wavelength division multiplexing ("WDM") to provide for multiple wavelength transmission of information at the transmission rate of the electronic equipment. The tradeoff between terminal spacing and higher bit rate equipment becomes especially important in WDM systems that span long distances that require large numbers of back to back terminals including receivers and transmitters for most, if not, every signal wavelength.

The interrelation of bit rate and terminal spacing in optical transmission links introduces difficulty in upgrading systems designed for lower bit rate equipment to higher bit rate equipment. The shorter transmission distance of higher bit rate electronic equipment is often not fully compatible, if at all, with existing optical links. Thus, optical links generally operate at a single bit rate and the terminal spacing is designed to operate at that bit rate.

In addition, new point to point optical links added to the optical system will generally be designed to use the highest bit rate available at the time of installation. As such, the various point to point links in a optical system may be operating at different bit rates.

The traditional approach to overcome bit rate differences between point to point links is to either

demultiplex a higher bit rate signal or multiplex lower bit rate signals following the receiver to the bit rate of the next transmitter. Bit rate conversion can be performed using a number of methods, such as by manipulating the SONET or SDH frames, or by other methods known to one skilled in the art.

While bit rate conversion allows different bit rate point to point links to cooperate in a single optical network, the capacity of the networks is limited by the older links that generally have lower capacity. Given the increased demand for capacity of existing links, it would be desirable to increase the capacity of the links without requiring the replacement of existing optical links.

BRIEF SUMMARY OF THE INVENTION

The present invention addresses the need for higher capacity optical transmission systems, apparatuses, and methods. Optical systems of the present invention are provisioned such that information is transmitted to a destination on a wavelength allocated to carry information to that destination and at a bit rate particular to the destination. The optical system provides for high bit rate transmission over short spans of the optical system, while provisioning lower bit rates for use over longer spans of the system. In addition, the optical system can be provisioned such that wavelengths that have lower optical fiber transmission loss are allocated for transmission of information over greater distances and/or at higher transmission rates.

In various embodiments, the system can include electrical multiplexers and demultiplexers that interface with the transmitters and receivers. In this manner, the system can be used to aggregate low bit rate traffic or inverse multiplex higher bit rate signal to bit rates more appropriate for the traffic volume and distance between the information origin and destination. The system may also include dedicated communication traffic signal channels, as well as mixed data and dedicated system information channels

to be added and dropped at each or various optical components in the system.

In various embodiments, the system can be configured to include continuous optical paths that accommodate the ingress and egress of signal wavelengths at various bit rates without terminating the optical path. The system can be configured by allocating signal wavelengths to switching/routing hubs to allow to provide access paths for regeneration, aggregation, and system maintenance.

Optical systems of the present invention address the need for higher capacity optical systems using existing fiber plants, as well as for new fibers by providing, for example, simultaneous transmission of multiple bit rates within the system. Therefore, the optical system capacity can be tailored to efficiently use the bandwidth resources of the optical system and provide for higher capacity optical systems. These advantages and others will become apparent from the following detailed description.

BRIEF DESCRIPTION OF THE DRAWINGS

Embodiments of the present invention will now be described, by way of example only, with reference to the accompanying drawings for the purpose of illustrating embodiments only and not for purposes of limiting the same; wherein like members bear like reference numerals and:

Figs. 1-4 show optical system embodiments.

It will be appreciated that lines connecting elements in the drawings depict optical connectivity of the elements and not necessarily the absolute number of optical fibers connected between the elements, unless expressly stated.

DESCRIPTION OF THE INVENTION

Fig. 1 shows an optical system 10 of the present invention embodied in a point to point transmission link. Electrical data signals Λ_{e1}, Λ_{e2}, and Λ_{e3} carrying information are provided to the system 10 at bit transmission rates B_1, B_2, and B_3, respectively, which could be, for example, various

combinations of bit rates from STS-1 through STS-192 or greater.

An electrical demultiplexer 12 can be provided to demultiplex the electrical data signal $\Lambda_{e3}(B_3)$ into a plurality of lower bit rate signals, for example, $\Lambda_{e4-7}(B_2)$, that can be transmitted over the length of the system 10 without having to regenerate the electrical signals. The electrical signals $\Lambda_{e1,2,4-7}$ are provided to one or more optical transmitters 14_m configured to transmit information via one or more information carrying signal wavelengths, or signal channels, λ_{si} to one or more optical receivers 22_j. An optical combiner 16 can be used to combine multiple signal wavelengths λ_{si} into a WDM signal Λ_o for transmission through an optical transmission medium, such as optical fiber 18.

An optical distributor 20 can be provided to distribute the signal wavelengths λ_{si} in the WDM optical signal Λ_o to a plurality of optical receiver 22 configured to receive and convert the information carried by the optical signal wavelengths λ_{si} into electrical data signal $\Lambda_{e1,2,4-7}$. An electrical multiplexer 24 can be provided to multiplex lower bit rate electrical signals Λ_{e4-7} into a higher bit rate electrical signals Λ_{e3}. Likewise, electrical multiplexers 24 and demultiplexers 12 can be provided proximate the transmitter and receivers, respectively, to aggregate and separate lower bit rate signals provided to the system 10.

The system 10 can also be embodied, as shown in Fig. 2, in network configurations including other optical components, such as one or more add/drop devices 26 and optical and electrical switches/routers/cross-connects 28 interconnecting the transmitters 14 and receivers 22. For example, broadcast and/or wavelength reusable, add/drop devices, and optical and electrical/digital cross connect switches and routers can be configured via a network management system in various topologies, i.e., rings, mesh, etc. to provide a desired network connectivity. The network management system can be

used to communicate with and control the optical systems 10 via wide area networks external to the system 10 and/or transmitting system supervisory information via optical channels within the system 10. Optical amplifiers 30, such as doped, e.g., erbium, and Raman fiber amplifiers and semiconductor amplifiers, can be disposed along the fiber 18 to amplify signal wavelengths λ_{si} attenuated by transmission through the fiber 18.

The transmitters 14_m can impart information to the signal wavelengths λ_{si} by direct or external modulation of optical carrier sources or optical upconversion. The transmitters 14_m also can include various error correction and signal formatting and processing circuitry, such as forward error correction and SONET/SDH encoders, decoders, and termination devices. The receivers 22_j can include both direct and coherent detection receivers. The receivers 22_j can also include error correction and signal formatting and processing devices corresponding to those in the transmitters 14.

Generally speaking, M transmitters 14_m can be used to transmit I different signal wavelengths λ_{si} to J different receivers 22_j. In various embodiments, one or more of the transmitters 14 and/or receivers 22 can be wavelength tunable to provide wavelength allocation flexibility in the optical system 10. In addition, the system 10 can also be configured to carry uni- and bi-directional traffic on a single fiber 18.

The optical combiners 16 and distributors 20 can include wavelength selective and non-selective ("passive") fiber and free space devices, as well as polarization sensitive devices. Passive or WDM couplers/splitters, circulators, dichroic devices, prisms, gratings, etc. can be used in combination with various tunable or fixed transmissive or reflective filters, such as Bragg gratings, Fabry-Perot devices, dichroic filters, etc. in various configurations of the optical combiners 16 and distributors 20. Furthermore,

the combiners 16 and distributors 20 can include one or more stages incorporating various devices to multiplex, demultiplex, and broadcast signal wavelengths λ_{si} in the optical systems 10.

In various embodiments, such as in Fig. 2, two optical transmitters 14_1 and 14_2 can be configured to transmit information on first and second optical wavelengths λ_1 and λ_2 at respective first and second bit transmission rates B_1 and B_2. The particular bit rate used for the first and second optical wavelengths λ_1 and λ_2 can be varied depending upon the distance over which it must be transmitted. In the Fig. 2 embodiments, the second optical wavelength λ_2 is dropped by the optical add/drop device 26, which also can add information carried by a third optical wavelength λ_3 at third bit transmission rate B_3. Because the second wavelength λ_2 is being transmitted over a shorter distance than first wavelength λ_1, the second wavelength λ_2 can be transmitted at higher bit rate to provide additional capacity between transmitter 14_2 and receiver 22_2. Similarly, the information carried by optical wavelength λ_3 from transmitter 14_3 to receiver 22_3 can be transmitted at yet a different bit rate. Again, it may be desirable to limit the third bit rate B_3 to the maximum bit transmission rate that can be used without having to regenerate the electrical signal.

In this manner, information being transmitted to different destinations can be sent at bit transmission rates appropriate to traffic capacity and distance between a particular origin to destination. For example, higher bit rate can be used over routes that do not span the entire distance of the lower bit rate systems. Likewise, lower bit transmission rates may be used in a system designed for higher transmission rates, if the traffic capacity does not economically justify the use of higher bit rate transmitters and receivers or the use of lower bit rates could eliminate regeneration sites between the origin and destination.

Depending upon the traffic volume, it is desirable to select a bit rate that may require electrical regeneration prior to the destination, but will more efficiently use available system resources.

It is often the case that information is provided to the system at a higher bit rate than can not be transmitted through the system 10 without regeneration. In those instances, it may be necessary to regenerate the signal during transmission between the origin and destination.

Alternatively, as shown in Fig. 1, the information can be inverse multiplexed into two or more lower bit rate streams that can be transmitted to the destination without regeneration or with fewer regeneration sites. Inverse multiplexing, when applied to SONET signals constructed from lower bit rate SONET signals can be merely a demultiplexing of the high bit rate SONET signal into its low bit rate SONET components. The information being transmitted can be recovered from the lower bit rate signals without inverse demultiplexing the lower bit rate signals into the higher bit rate signal. Whereas, inverse multiplexing of concatenated SONET signals fragments the information, requiring the IM signals be inversed demultiplexed to recover the information. While inverse multiplexing is known in the art, there are difficulties with the schemes, particularly in concatenated data streams.

A primary difficulty with inverse multiplexing is that the inverse multiplexed data streams will travel from the origin through the optical systems at different rates causing a misalignment, or skew, of the data at the destination. In parallel optical systems, transmission path lengths for the inverse multiplexed signals are equalized as much as possible to lessen the skew between the signals. In WDM systems, while a common fiber is used, chromatic dispersion of the different wavelengths carrying the inverse multiplexed signals, as well as the mux/demux structure of the WDM system can greatly increase the skew.

Various methods can be applied to compensate for the skewing of inverse multiplexed signals. For example, U.S. Patent No. 5,461,622 suggests using both framing and pointer bytes in SONET overhead to deskew the information. Unfortunately, the amount of skew introduced by the system 10 can vary with the system conditions, which can degrade the system performance, particularly in WDM systems. For example, variations in the wavelengths one or more of the transmitters used to transmit the inverse multiplexed signals can caused variations in the amount of skew in the system 10.

In one aspect of the present invention, the transmitters 14 are configured to upconvert two or more inverse multiplexed signals onto different subcarriers of a single optical carrier wavelength provide by a transmitter. The frequency spacing between subcarrier can be substantially less than between adjacent carriers, so as to greatly decrease the dispersion and resultant skew between the inverse multiplexed signals during transmission in WDM systems. In addition, transmitting the inverse multiplexed signals on subcarriers of a common optical carrier essentially eliminates path length differences introduced by WDM multiplexing schemes.

Various subcarrier modulation techniques can be employed to upconvert the inverse multiplexed data streams onto the subcarriers. Single sideband, suppressed carrier upconversion techniques can be used to minimize unwanted mirror image subcarrier and carrier wavelengths being transmitted along with the signal wavelengths λ_{si}. Although conventional double sideband, non-suppressed carrier, subcarrier modulation techniques also can be employed. An example of single sideband, suppressed carrier transmitters suitable for use in the present invention are described in commonly assigned copending U.S. Application No. 09/185,820 filed November 4, 1998, the disclosure of which is incorporated herein by reference.

The number of inverse multiplexed signals may or may not coincide with the number of subcarriers being upconverted on

a single transmitter. When the number of inverse multiplexed signals does not correspond to the number of subcarriers, the inverse multiplexed signals can be upconverted onto two or more transmitters transmitting information that provide adjacent signal wavelengths in a wavelength channel plan. For example, placing two subcarriers on each of two adjacent carriers can decrease the dispersion and resultant skew between the inverse multiplexed signals by a factor of 2-3 times compared to the skew using four carriers.

Inverse multiplexing can be used to separate and transmit concatenated and unconcatentated higher bit rate information streams, e.g., OC-768c & OC-768, OC-192c & OC-192, etc. The inverse multiplexed signals can be framed with appropriate transmission overhead at lower bit rates to allow the inverse multiplexed signals to be deskewed and recombined into the higher bit rate signal at the end of the link. The deskewing can be performed using the framing A1 and A2 bytes in the transmission overhead or additional bytes, as previously discussed.

In various embodiments, the receivers are configured to coherently detect two or more of the subcarriers carrying the inverse multiplexed signals. Coherent detection of the subcarriers eliminates much of the path variability introduced by demultiplexing and direct detection of the inverse multiplexed signals. Coherent detection can be performed using a remnant of the carrier wavelength with or without a local oscillator providing a heterodyne signal. In various embodiments, the local oscillator can be locked using the remnant carrier wavelength to ensure proper tracking of any drift in the carriers and subcarriers during operation. In fact, a tunable local oscillator can provide additional flexibility in configuring receivers 22 in the system 10.

As further shown in Fig. 2, a fourth optical wavelength λ_4 at a fourth bit rate B_4 can be used to provide system supervisory/service information between the optical components in the system 10. Generally, the various optical components, such as optical amplifiers 30, add/drop devices

26, switches 28, receivers 22, and transmitters 14, etc. are provided in nodes and node to node communication is provided via the fourth optical wavelength λ_4. Optical component controllers 32 are provided to process the system information carried on the fourth wavelength λ_4 and control the optical components with the node in accordance with the system information. The optical component controllers 32 also provide component status reports that are transmitted using the fourth wavelength transmitters 14_4.

Generally, the distance between successive optical components is not great (e.g., 40-100 km), thereby allowing the use of high bit rates for transmission in the fourth optical wavelength λ_4, as previously discussed. However, the cost of providing transmitter/receiver pairs at each optical component is generally a prime consideration in determining the maximum bit rate to transmit system information. As such, the amount of system information that must be transmitted between optical components is generally used to set the minimum bit rate and associated costs for transmitter/receiver pairs.

It may be appropriate, in some instances, to place only the system information on the fourth optical wavelength λ_4 to provide a dedicated supervisory/service channel. However, the amount of system information generally does not warrant the expense of a dedicated supervisory/service channel.

In the present invention, the fourth bit rate B_4 is selected to have sufficient capacity to carry communications traffic, in addition to providing capacity for system information. For example, relatively inexpensive transmitters and receivers can be employed at fourth bit rates B_4 comparable to ITU standard OC-1 bit rates, that provide sufficient capacity to carry communications traffic and the system information can be interleaved, as necessary. As previously stated, substantially higher bit rates can be used for the fourth bit rate B_4, and may be appropriate when

the demand for capacity justifies the additional cost associated with higher bit rate transmitters and receivers.

When communications traffic and system information is interleaved, the system information has to be electrically demultiplexed at each optical component to separate the system information intended for that optical component. The communications traffic carried on the fourth optical wavelength λ_4 is then electrically multiplexed with the new system information and passed from component to component until it reaches its destination.

In the present invention, the fourth optical wavelength λ_4 also can be configured to carry other non-system information, such as service provider order wires. In these embodiments, the communications traffic, order wire traffic, and system supervisory information can be multiplexed together to provide a multiple protocol, mixed data channel.

The use of a mixed data channel gives a service provider increased access to the communications traffic at each component. Thus, a service provider can further configure the optical component controllers 32 to allow communication traffic to be added and dropped from the mixed data channel at the optical components. In this manner, direct access to the system 10 can be provided at optical component locations that would not otherwise have direct access to the system 10. For example, the mixed data channel can be used to aggregate traffic that can be further aggregated and/or reassigned to dedicated communication traffic channels at subsequent nodes in the system 10. In addition, the system 10 can be designed to include one or more dedicated communications traffic channels that are added and dropped at each optical component with the mixed data channel or at selected optical components. The component add/drop communications traffic channels provide further access to the system 10, which could be used to access other systems, such as local transmission rings.

In another aspect of the system 10, the optical wavelengths can be provisioned based on the distance between

the origin and the destination and the optical loss, or attenuation, associated with transmitted a particular wavelength through the transmission fiber 18. For example, information being transmitted over longer distances in SMF-28 type fiber can be carried using wavelengths having lower loss/distance, such as between 1520-1580 nm. Whereas, information being carried over shorter distances can be transmitted in wavelengths having higher loss/distance. Continuing the example, wavelengths typically having higher loss per distance in SMF-28, such as wavelengths longer than 1580 nm or shorter than 1520 nm including the 1300 nm transmission window can be used to carry traffic over shorter distances.

Similarly, wavelengths that have very low or very high dispersion can be used to transmit signals over short distances. In the case of very low dispersion fibers (e.g., <1 ps/nm/km), the input signal power can be lowered to decrease non-linear interactions and; therefore, are more suitable for short transmission distances. Whereas, very high dispersion wavelengths also may be more suitable for transmitting information over shorter distances to minimize the effects of cumulative dispersion on the signal quality, in the absence of effective dispersion compensation.

The system 10 of the present invention can be embodied as a network in both mesh and ring configurations, such as shown in Figs. 3 and 4, as well as other configurations . One skilled in the art will appreciate that when a plurality of rings are interconnected via optical switches, the interconnected rings can be configured to provide for mesh-like protection paths involving more than one ring. The interconnection of the rings provides alternate path options in addition to, or in lieu of, the clockwise/counterclockwise paths in isolated rings.

In the present invention, the system 10 can be configured in mesh cells, interconnected rings, or otherwise to eliminate, minimize, and/or optimize the amount of optical signal regeneration performed between the origin and

destination nodes. Optical signals are introduced into the system 10 via either optical add/drop multiplexers 26 or optical switches 28 depending upon the number of communication paths and the amount of communications traffic that is being added and/or dropped at a point of presence. Selective optical to electrical conversion and optical signal regeneration can be performed, if necessary, at either the optical switches 28 and/or the optical add/drop device 26 to transmit optical signals to their respective destinations. If multiple fibers are used, primary and protection paths can be provisioned by configuring the optical switch 28 accordingly.

Unlike prior point to point systems, the system 10 does not require that all optical wavelengths λ_i be terminated, electrically regenerated, reconverted to optical wavelengths, and transmitted at any point in the system. In this manner, optical to electrical to optical ("OEO") conversions can be minimized or eliminated between the origin and destination nodes in the system 10. Thus, the number of transmitters 14_i and receivers 22_i required in the system can be greatly reduced. In some configurations, it may be appropriate to occasionally terminate the optical path and regenerate optical signals for information continuing through the network to better provide for wavelength management and/or to eliminate amplified spontaneous emission ("ASE") noise from the system. While the present invention has been described primarily with respect to electrical regeneration of optical signals, the invention is generally applicable to optical regeneration techniques that have been proposed or will be developed.

Configurations of the system 10, such as those in Figs. 3 and 4, can be used to provide continuous optical paths forming a transparent all-optical network. The establishment of a continuous optical path provides flexibility in the optical wavelengths and bit transmission rates used in system 10 in that no OEO regeneration occurs in the continuous optical path. Ingress to and egress from the continuous

optical path is optically provided via optical add/drop multiplexers and optical switches. OEO regeneration that is required prior to reaching the information destination is performed external to the continuous optical path. ASE noise that may accumulate in the continuous optical path can be selectively removed, when optical signals are being added and/or dropped and/or by filtering or blocking at the optical switch or independently of any other optical component.

The optical switch 28 can be configured to provide transparent routing of optical signals from one or more input ports to one or more output ports. An example of optical switches 28 suitable for use in the present invention are reconfigurable routers described in commonly assigned U.S. Patent Application Serial No. 09/119,562 (the " '562 switch"), which is incorporated herein by reference. In the '562 switch configurations, information is routed to the information destinations in wavebands, each of which can include one or more optical wavelengths carrying information between the information origin and the information destination. The optical switch serves as a reconfigurable router that can be operated statically during normal operation, but can be reconfigured to implement protection strategies and/or changes in communications traffic patterns. Thus, large numbers of optical wavelengths, i.e., information channels, can be optically routed and rerouted without performing OEO conversion in the continuous optical path.

The interconnection of numerous optical links in the present invention provides flexibility in the assignment of wavelengths and optical paths for transmitting information between information origins and destinations. The increased flexibility and versatility of the system 10 also means that additional consideration must be given to issues such as wavelength contention and the formation of optical rings.

In an embodiment of the present invention, wavebands, i.e., groups of wavelengths, are allocated in a network by assigning wavebands to optical switches and OADMs that serve as optical hubs. The wavelengths in wavebands assigned to a

particular hub must exit a continuous path in the network at the assigned hub. The use of the optical hub prevents the system configurations that might result in the formation of an optical loop in the network. The optical hub strategy also accommodates network protection via the unique allocation of protection paths through the system 10.

An example of the optical hub allocation strategy is provided with respect to a four optical switch mesh block or ring providing a continuous path shown as "A" in Fig. 4, and assuming two fibers, optical paths 1 and 2, are used to provide connectivity between the optical switches 28_{1-4}. Optical switch 28_1 can be used to route information to and from optical switches 28_{2-4} in block A, and also to the switches and OADMs in the block B. One or more wavebands can be assigned to optical switch 28_1, and designated as waveband Λ_1.

The optical switch 28_1 will then serve as an optical hub for waveband Λ_1 meaning that all information carried by wavelengths within waveband Λ_1 will exit block A via the first optical switch 28_1. The non-hub optical switches 28_{2-4} in the block A will be configured to pass all wavelengths in the first waveband Λ_1 through the switch on the same optical path on which the wavelength entered the switch. This is, if a wavelength entered the switch via the first optical path 1, the wavelength will exit the switch on the first optical path 1. In addition, the non-hub optical switches 28_{2-4} can be configured to broadcast the first waveband Λ_1 to any receivers or other paths associated with non-hub switch. Thus, the first waveband Λ_1 will travel around the same optical path until it encounters the first optical switch 28_1 at which time the wavelength will be switched to a different optical path or removed from the system. The hub assignment can be used to effectively remove traffic from the continuous path to allow for regeneration, aggregation, and wavelength conversion of the signal wavelengths λ_{si}, as well as system maintenance.

In this embodiment, the wavelengths within first waveband Λ_1 are uniquely assigned to one of the other nodes, i.e., optical switches or OADMs within the continuous optical path A. One or more wavelengths can be assigned to each node depending upon the communications traffic between the particular node and the waveband hub.

Likewise, the second optical switch 28_2 can serve as a hub for a second waveband Λ_2 and the individual wavelengths within the second waveband Λ_2 can be assigned to optical switches $28_{1,3,4}$. A similar procedure can be followed for the other optical nodes in the block A.

Either the first or second optical paths, 1 and 2, in the continuous optical path A can be the primary path for transmission from the hub node to the non-hub nodes. The other optical path will serve as the protection path. For example, the first optical path 1 can serve as the primary path for information originating from the first optical switch 28_1 and the protection path for information originating from the other nodes. Whereas, the second optical path 2 can serve as the primary path for information originating from the other nodes and the protection path for information originating from the first optical switch 28_1.

Protection using the waveband hubs can be provided in a one for one ("1:1") manner in which the signal is switched from the primary path to the protection path upon the loss of signal in the primary path. Continuing the example from the preceding paragraph, if a fiber cut occurs between the first and second optical switches, 28_1 and 28_2, optical signals in the first waveband Λ_1 originating from the first optical switch 28_1 will be switched to the second fiber path 2. Likewise, optical signals originating from the other optical switches 28_{2-4} will be switched to the first optical path 1.

Other waveband allocation schemes, such as assigning unique wavebands to pairs of nodes or common wavebands to adjacent nodes, and protection schemes can be provided in the present invention. For example, one plus one ("1+1")

protection can also be performed using optical waveband switches by uniquely assigning wavebands to carry information between two nodes. For example, all information being transmitted between the first and second optical switches 28_1 and 28_2 would be carried by wavelengths with the first waveband Λ_1. The first and second optical switches 28_1 and 28_2 would be configured to remove any wavelengths in the first waveband Λ_1 that enter the switches on the first and second optical paths, 1 and 2. Conversely, the third and fourth optical switches 28_3 and 28_4 route any wavelengths entering the switches onto the same optical path exiting the switches. In this manner, both first and second optical paths 1 and 2 can simultaneously be used as primary and protection transmission paths.

The protection signal in the 1+1 protection scheme can be eliminated by appropriate provisioning of the switch, or the use of line switches. Alternatively, both the primary path signal and the secondary path signal can be received and one of the two signal can be selected. The selection of the optical signal can be performed at the optical receiver level or in the electrical domain, for example in an IP router.

A 1+1 protection scheme can also be provided, if individual wavelength blockers, such as individual wavelength OADMs and switches and/or filters, are provided in the system 10. Thus, the individual wavelengths are removed from or exit the continuous path A at both assigned nodes. The other nodes in the continuous path A would be configured to allow the non-assigned wavelengths to exit the node on the same optical path that it entered the node. It should be noted that the use of individual wavelength switches can greatly increase the complexity of the system as the number of wavelengths used in the system is increased.

Those of ordinary skill in the art will appreciate that numerous modifications and variations that can be made to specific aspects of the present invention without departing from the scope of the present invention.

CLAIMS

What is claimed is:

1. A wavelength division multiplexed optical system comprising:

a plurality of optical transmitters, each transmitter configured to transmit information at via at least one signal wavelength at a bit transmission rate and signal power; and,

a plurality of optical receivers, each receiver configured to the receive information transmitted via at least one of the at least one optical wavelengths, wherein the at least one signal wavelength and bit transmission rate of each of said plurality of transmitters is selected to allow for the transmission of the information via the signal wavelength to at least a corresponding one of said plurality of said receivers without regeneration, wherein information transmitted at a first bit transmission rate and first signal power to a first receiver without regeneration would require at least one of electrical regeneration and optical regeneration to reach a second receiver.

2. The system of claim 1, wherein at least a portion of said plurality of transmitters optically communicate with at least a portion of said plurality of optical receivers through at least one of an optical router and add/drop device.

3. The system of claim 1, wherein said system is configured as a continuous optical path configured to carry signal wavelengths.

4. The system of claim 3, wherein said system includes a plurality of optical access ports configured to allow optical signal wavelengths to be transmitted into and received from said optical path and to prevent optical signal wavelengths from completely traversing said continuous path.

5. The system of claim 4, wherein said plurality of access ports includes at least one of an optical transmitter and an optical receiver.

6. The system of claim 4, wherein said plurality of access ports includes at least one of an optical switch and an add/drop multiplexer configured to insert and/or remove optical signal wavelengths from said path.

7. The system of claim 1, wherein:

at least one of said plurality of optical transmitters includes an inverse multiplexer configured to separate a high bit rate signal into a plurality of lower bit rate signals, said at least one transmitter being further configured to upconvert at least two of the lower bit rate signals onto corresponding signal wavelengths; and,

at least one of said plurality of optical receivers includes an inverse demultiplexer configured to receive said plurality of lower bit rate signals from said at least one receiver and provide the high bit rate.

8. The system of claim 7, wherein at least one of said plurality of optical transmitters is configured to transmit information at the high bit rate to at least one of said plurality of receivers without regeneration.

9. The system of claim 1, wherein:

at least one of said plurality of optical transmitters includes an electrical multiplexer configured to combine system information with communications traffic information and transmit the system and communications traffic information via at least one of the signal wavelengths; and,

at least one of said plurality of optical receivers includes an electrical demultiplexer configured to separate the system information from the communications traffic information.

10. The system of claim 9, wherein said system includes an add/drop multiplexer configured to remove and insert the at least one signal wavelength carrying the system information combined with communications traffic and at least one other signal wavelength carrying only communications traffic.

11. A method of transmitting information in an optical system comprising:

providing an optical path including at least first and second optical receivers configured to receive at least one signal wavelength from the optical path;

transmitting first information via a first signal wavelength at a first bit transmission rate and first signal power sufficient to be received by the first optical receiver without regeneration; and,

transmitting second information via a second signal wavelength at a second bit transmission rate and second signal power sufficient to be received by the second optical receiver without regeneration, wherein transmitting information at the first bit transmission rate and first signal power to the second receiver would require at least one of electrical regeneration and optical regeneration.

12. The method of claim 11, wherein:

said providing includes providing a plurality of optical receivers configured to each receive at least one signal wavelength; and,

said transmitting a second information set includes transmitting a plurality of information via a plurality of signal wavelengths at different bit transmission rates and signal powers sufficient to be received by at least one of the plurality of optical receivers without regeneration.

13. The method of claim 11, wherein said transmitting a first information includes transmitting the first information at the highest suitable bit transmission rate and signal power that can be received by said first optical receiver without regeneration.

14. The method of claim 12, wherein said transmitting the plurality of information via a plurality of signal wavelengths includes transmitting the plurality of information via a plurality of signal wavelengths that minimize the transmission loss in the optical path.

ABSTRACT OF THE DISCLOSURE

Systems, apparatuses, and methods are disclosed that provide for provisioning optical systems such that information is transmitted to a destination on a wavelength allocated to carry information to that destination and at a bit rate particular to the destination. The optical system provides for high bit rate transmission over short spans of the optical system, while provisioning lower bit rates for use over longer spans of the system. In addition, the optical system can be provisioned such that wavelengths that have lower optical fiber transmission loss are allocated for transmission of information over greater distances and/or at higher transmission rates.

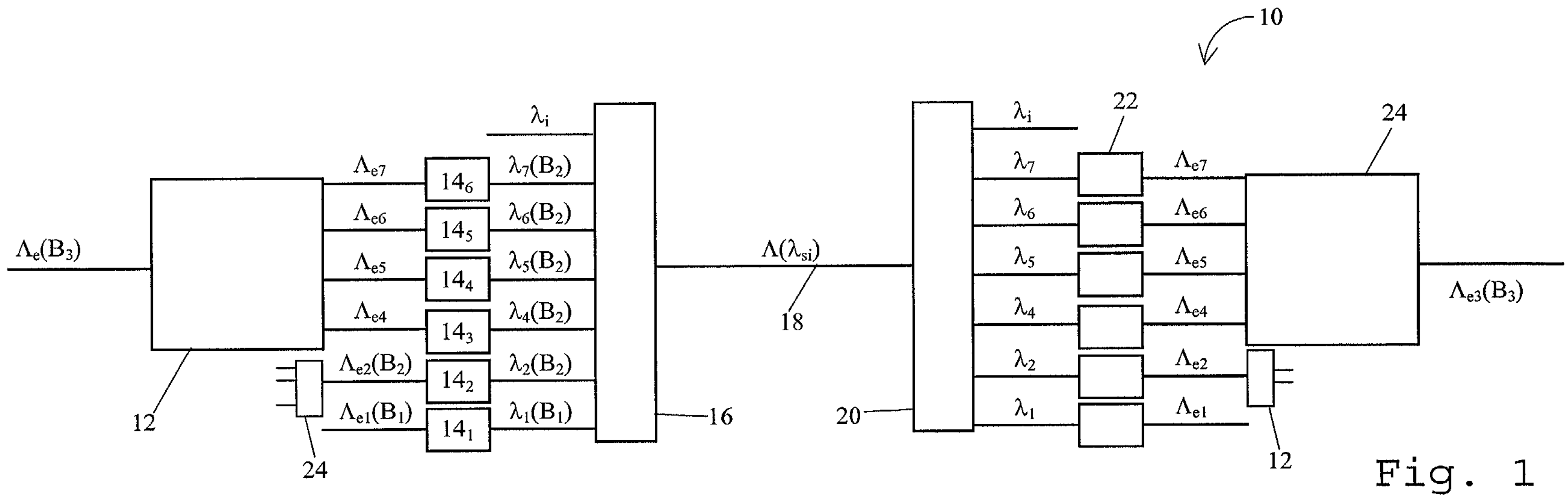
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Fig. 1

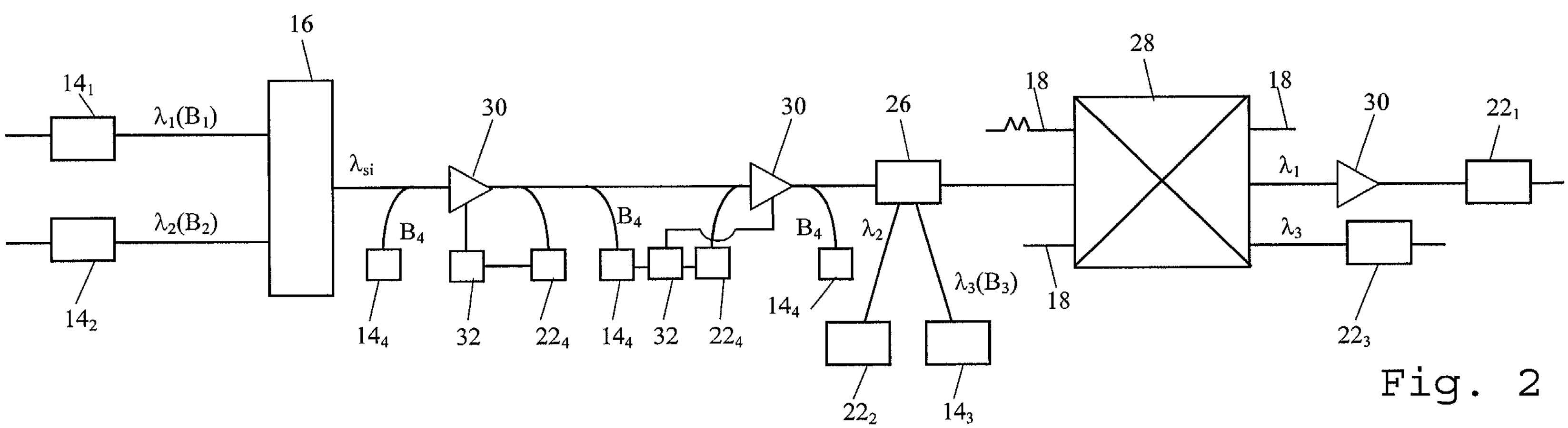
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Fig. 2

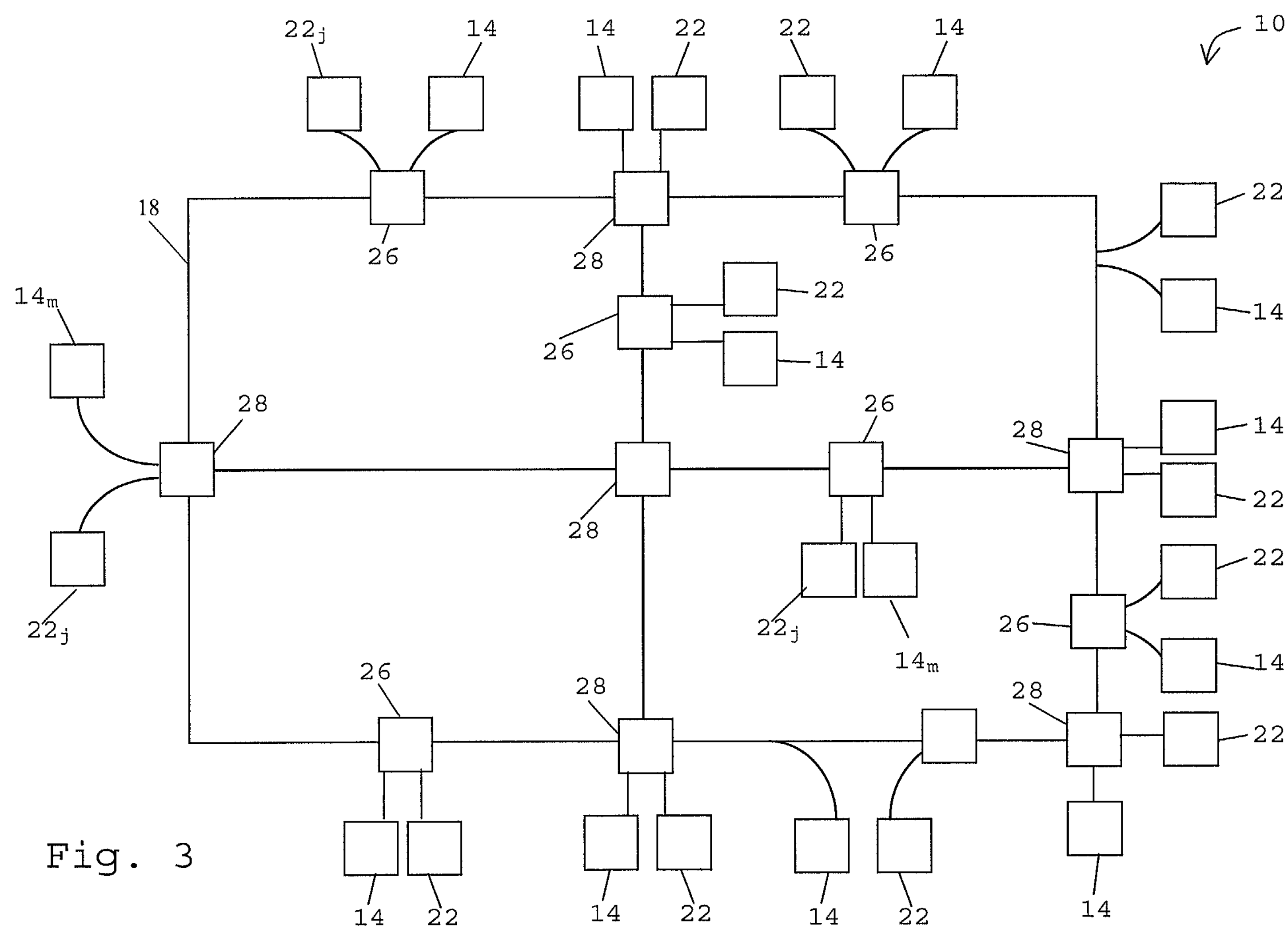

Fig. 3

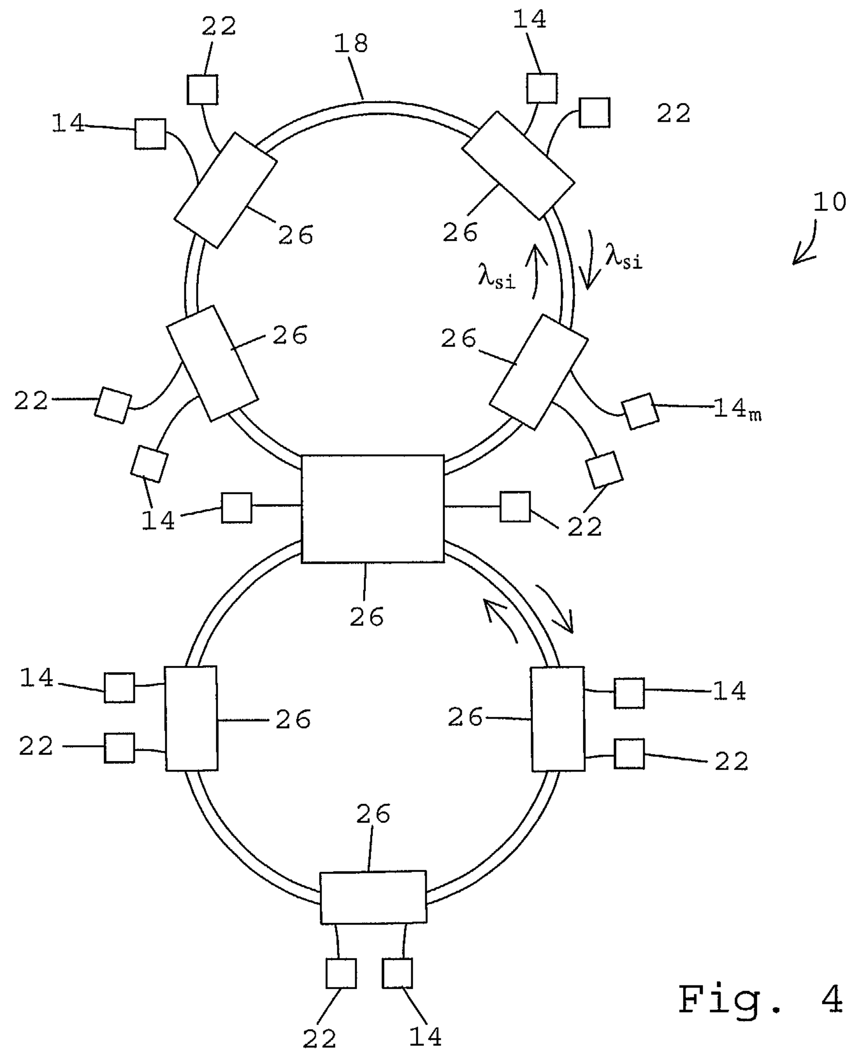
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Fig. 4

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

☒ Declaration Submitted with Initial Filing OR ☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	98117 DS
First Named Inventor	David F. Smith
COMPLETE IF KNOWN	
Application Number	/
Filing Date	
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Wavelength Division Multiplexed Optical Transmission Systems, Apparatuses, and Methods

(Title of the Invention)

the specification of which

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) [ ] as United States Application Number or PCT International Application Number [ ] and was amended on (MM/DD/YYYY) [ ] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	NO
			☐	☐	☐
			☐	☐	☐
			☐	☐	☐
			☐	☐	☐

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	
60/108,751	11/17/1998	☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

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DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number *(if applicable)*

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: ☒ Customer Number 021398 PATENT TRADEMARK OFFICE *OR* ☐ Registered practitioner(s) name/registration number listed below

Place Customer Number Bar Code Label here

Name	Registration Number	Name	Registration Number

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☒ Customer Number or Bar Code Label *OR* ☐ Correspondence address below

Name	021398				
Address	PATENT TRADEMARK OFFICE				
Address					
City		State		ZIP	
Country		Telephone		Fax	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])	Family Name or Surname
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Inventor's Signature	David F. Smith					Date	11-17-99
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City	Columbia	State	MD	ZIP	21046-9400	Country	USA.

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

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PTO/SB/02A (3-97)
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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 1 of 1

Name of Additional Joint Inventor, if any: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])	Family Name or Surname
Michael C.	Antone

Inventor's Signature	[signature]					**Date**	11-17-1999
Residence: City	Ellicott City	**State**	MD	**Country**	USA	**Citizenship**	USA
Post Office Address							
Post Office Address	7015 Albert Einstein Dr. PO BOX 9400						
City	Columbia	**State**	MD	**ZIP**	21046	**Country**	USA

Name of Additional Joint Inventor, if any: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])	Family Name or Surname

Inventor's Signature						**Date**	
Residence: City		**State**		**Country**		**Citizenship**	
Post Office Address							
Post Office Address							
City		**State**		**ZIP**		**Country**	

Name of Additional Joint Inventor, if any: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])	Family Name or Surname

Inventor's Signature						**Date**	
Residence: City		**State**		**Country**		**Citizenship**	
Post Office Address							
Post Office Address							
City		**State**		**ZIP**		**Country**	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
37 C.F.R. § 3.73(b) Statement

Applicant: David F. Smith and Michael C. Antone
For: Wavelength Division Multiplexed Optical Transmission Systems, Apparatuses, and Methods
Serial No.: Filed:

CORVIS Corporation (a Delaware Corporation) is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the United States Patent and Trademark Office on ___ at Reel _______, Frame _______, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s) of the patent application identified above to the current assignee as shown below:

1. From ___ To: ___
   The document was recorded in the United States Patent and Trademark Office on ______ at Reel _______, Frame ______, or for which a copy thereof is attached.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

Power Of Attorney

I hereby revoke all previous powers of attorney, if any, and appoint the attorney(s) and/or agent(s) identified with United States Patent and Trademark Office **Customer Number 021398** to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Please Direct All Correspondence to: Michael C. Antone, Esq.

Declaration

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful statements may jeopardize the validity of the application and any patent issuing therefrom.

Date 11/17/99

Signature [signature]

Name Michael C. Antone

Title Intellectual Property Counsel

DOCKET No. 981117DS